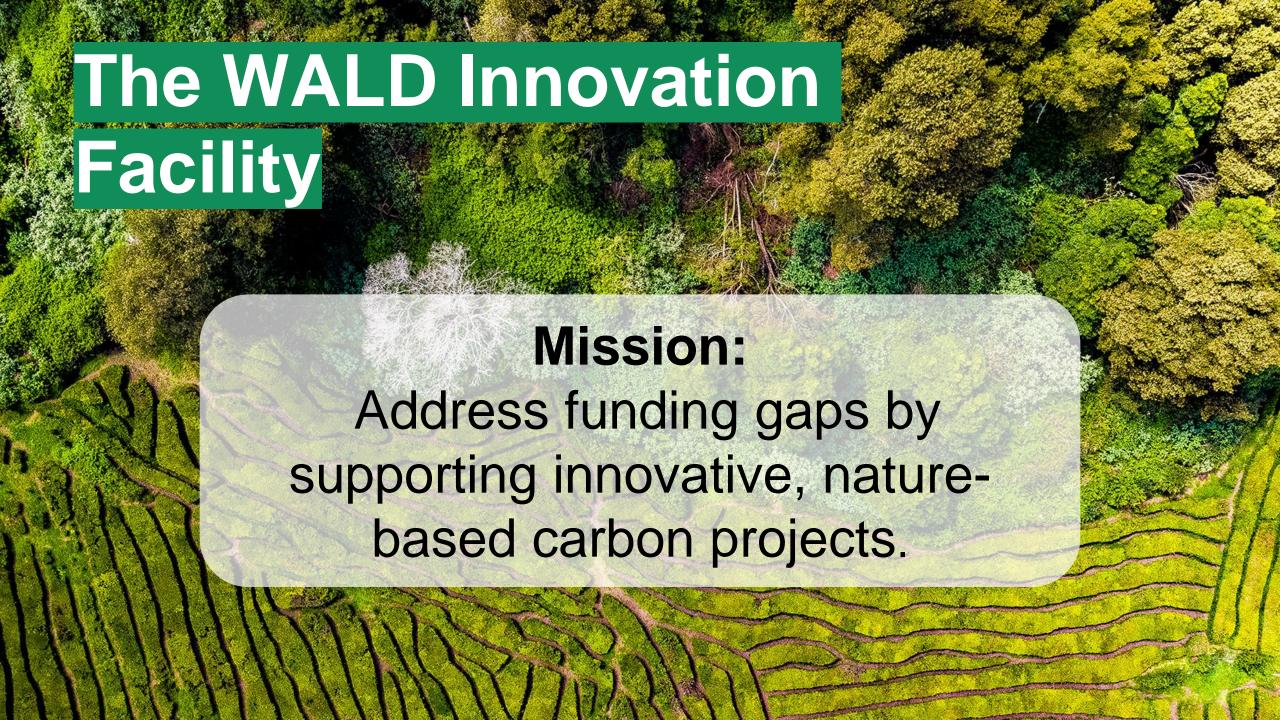




Recap: What is the Innovation Facility?



Objectives & Key themes



Delivering nature positive impacts: Contribution to biodiversity



Leveraging the voluntary carbon market: Carbon components of the project



Advancing social inclusion, benefit sharing, and gender equality



Fostering innovation for impact: Innovativeness of the project

The Basics



Total fund: €9 million from the German Federal Ministry for Economic Cooperation and Development (BMZ) via KfW Development Bank.

Funding Amount: €750,000–€1.5 mio. per project.

Duration: Up to 24 months (no later than mid-2027).

Managed By: International Union for Conservation of Nature (IUCN)

Expected outcomes



Mobilisation of private finance



Project validation and registration



Improved livelihoods



Environmental and Social Risk Mitigation



Timeline (update)

11 Dec 2024

17 Dec 2024

14:00 (2 pm) CET

End of december 2024

16 Jan 2025

14:00 (2 pm) CET

13 Feb 2025

17:00 (5 pm) CET

28 Mar 2025 17:00 (5 pm) CET



Call for Concepts

INFORMATION SESSION 1

Register via: innovationfacility@iucn.org

IUCN GRANTS PORTAL

open for submissions

INFORMATION SESSION 2

Register via: innovationfacility@iucn.org

DEADLINE

for submission of Concept Notes

NOTIFICATION

of projects proponents of the review process



Concept Note

SECTION A: Project proponent and project participants

SECTION B: Project description & eligibility

Description Eligibility criteria Status & Timeline

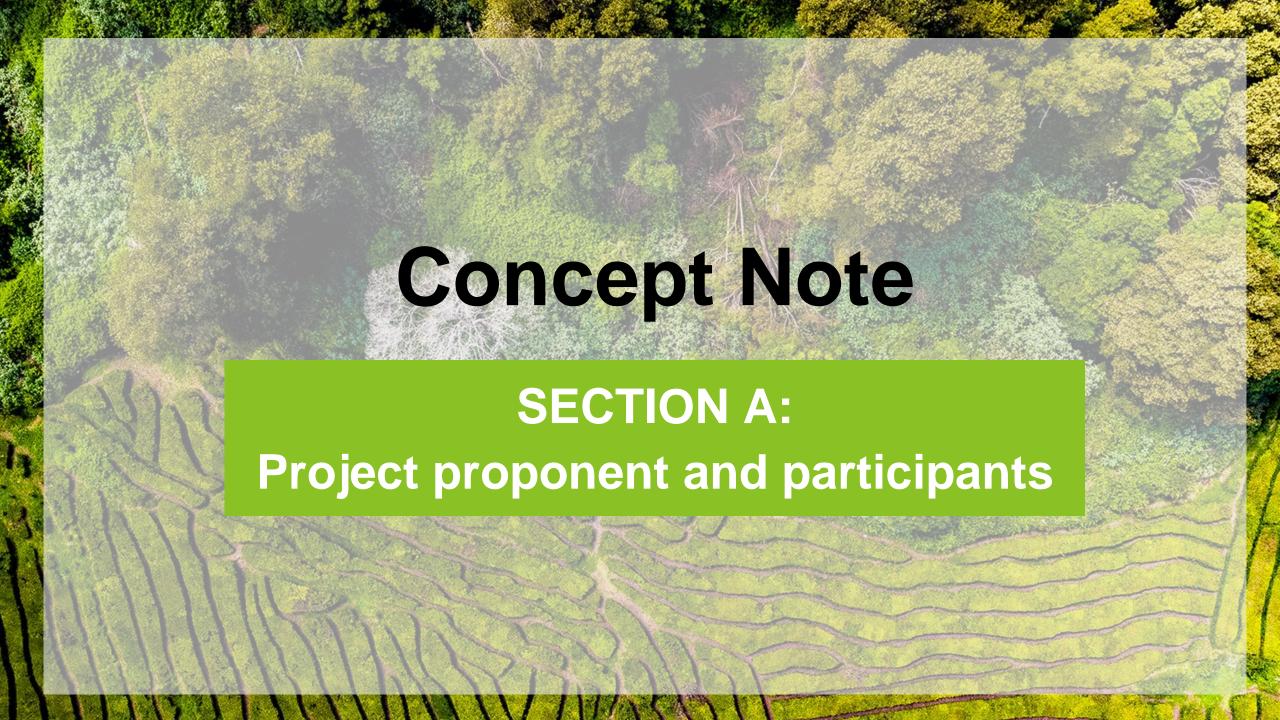
SECTION C: Focus of the Innovation Facility

Biodiversity Carbon Social Innovation

SECTION D: Grant budget & project financing

SECTION E: Environmental & social safeguards

SECTION F: Due Diligence & Financial Capacity Questionnaire



SECTION A

i) Name and details of the project proponent

SD1: provide articles, registration, statutes or other relevant founding documents

- ii) Project proponent lead contact information
- iii) Experience and track record of the proponent

Briefly describe **relevant experience and track record** specific to the proposed project & the **capacity** to undertake implementation of the proposed activities

SECTION A - Consortium

If applicable:

iv) Name and details of consortium partner(s)

SD2: If you are part of a consortium, it is expected, that the project proponent represents and acts on behalf of other partners.

> In this case a **representation letter** needs to be submitted.

v) Experience and track record of the consortium partner(s)

Describe the role of each partner in the consortium, their **relevant track records, and their capacity to undertake implementation** of the proposed project activities

SECTION A

vi) Ownership of the project site

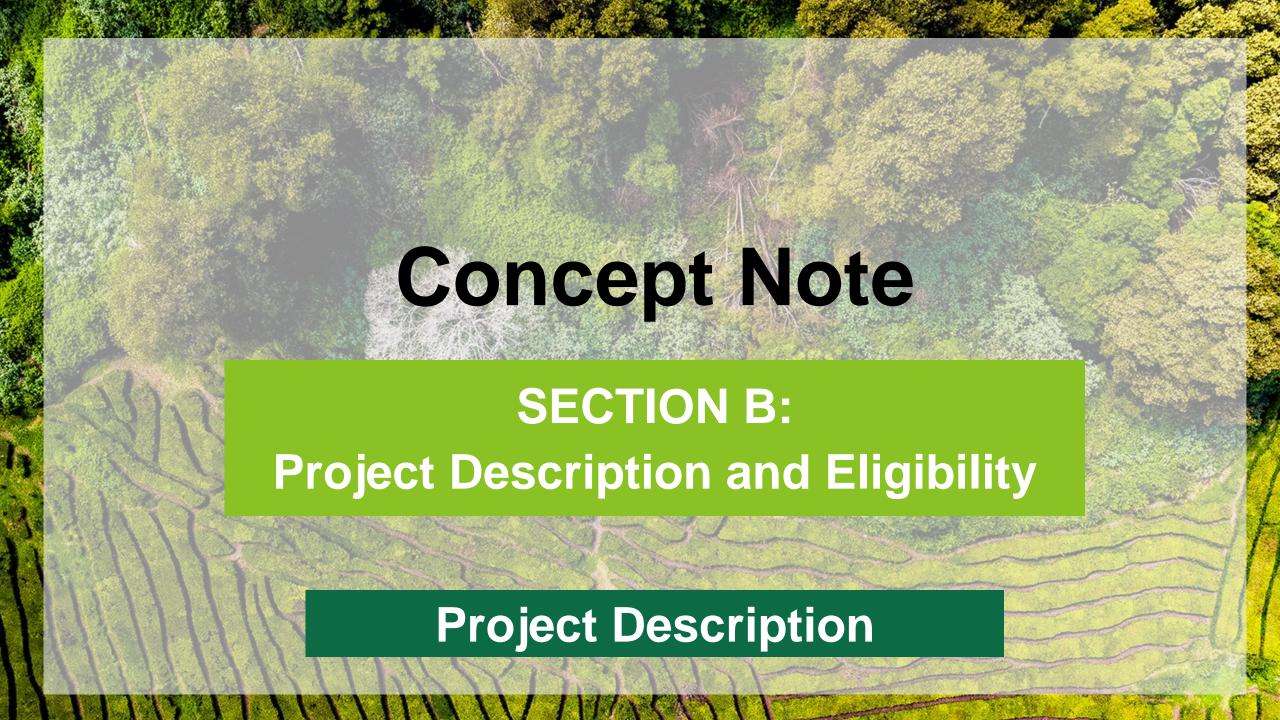
Do you own the land or have the right to manage and control it? If not, describe how you will obtain those rights.

vii) Carbon rights

Confirm ownership or outline agreements to secure title to carbon assets

vii) Additional actors and roles

Brief description of other project participants, relevant institutions, other state and non-state actors, and subcontractors involved in the proposed project



SECTION B - Project description

- i) Name of the proposed project
- ii) Country(ies)

List the **ODA country(ies)** in which the project will be implemented.

iii) Executive summary

a summary of the proposed project, including the activities and objectives pursued both during the **grant funding period** and the **project lifetime**.

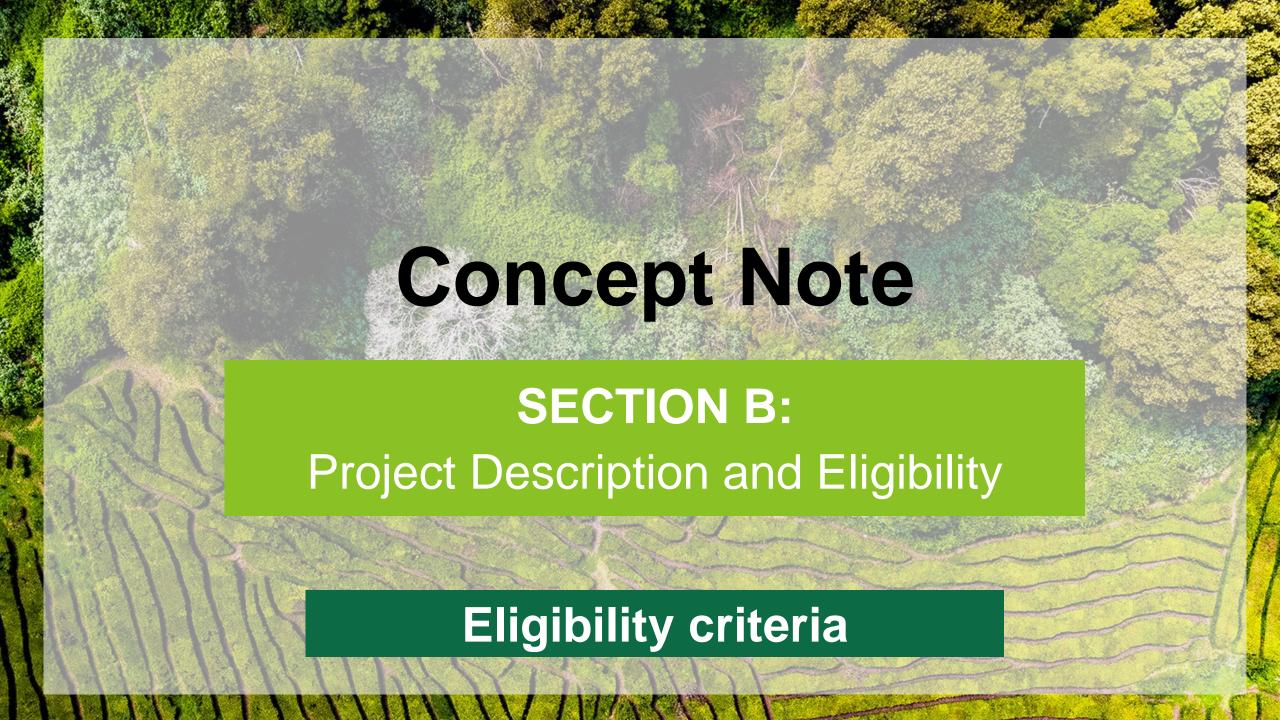
SECTION B - Project description

iii) Project area: Geographical location and characteristics

SD3: shape files (e.g., .shp, .kml) of the project area

iii) Long-term sustainability of the project

Describe how the positive outcomes of the project can be ensured beyond the project lifetime.



SECTION B - Eligibility criteria

i) Project category

Forest / Inland wetlands / Coastal wetlands

ii) Location in or near a KBA

Describe KBAs in our around project area

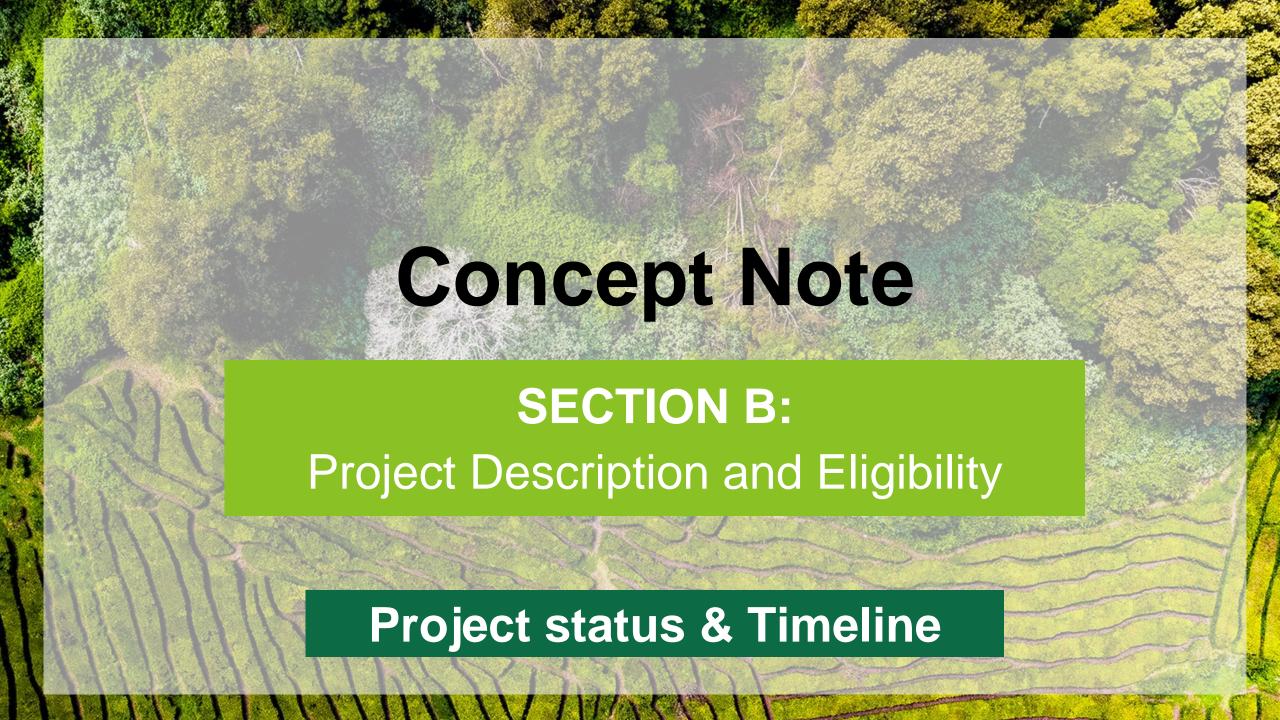
iii) Land-use history

No land-use change in the past 10 years

SD4: Land-use change maps, covering min 10 years

iii) Excluded activities

Confirm alignment with exclusion list (Chapter 2.2.4.)



SECTION B - Project status & Timeline

i) Status of the project

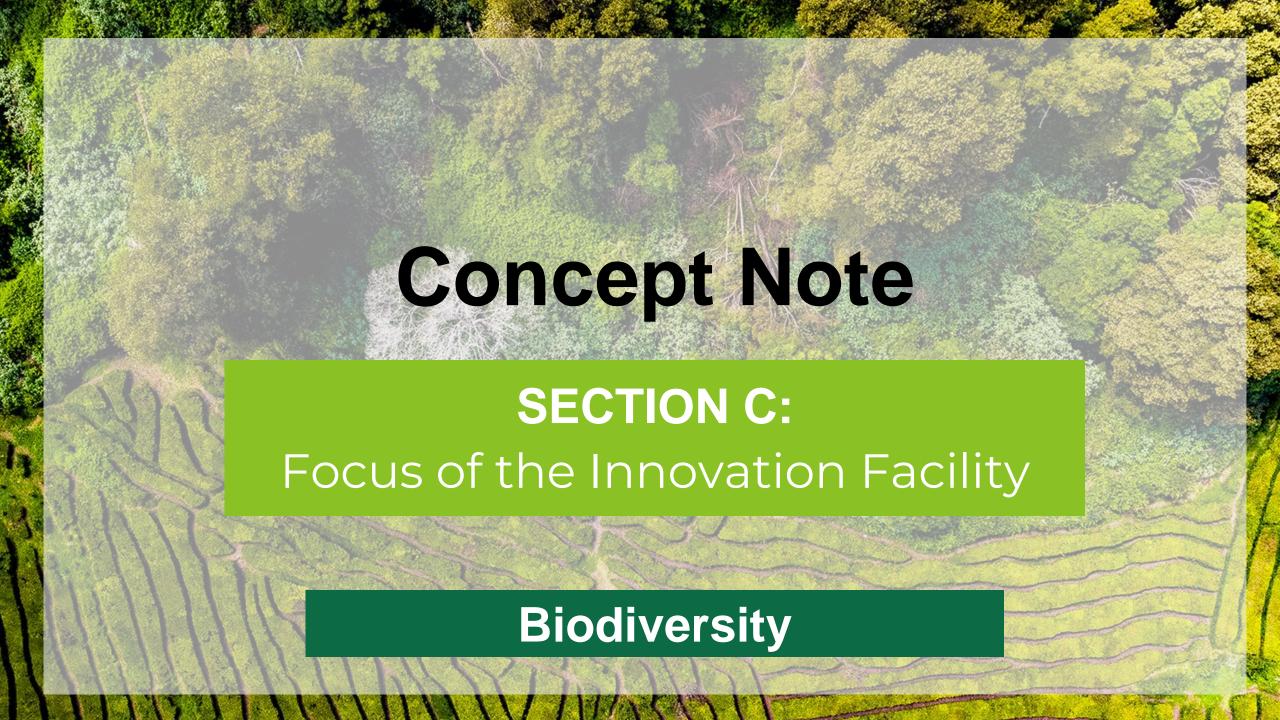
Describe status of the project, summary of outstanding steps

SD5: copies of relevant studies and assessments (e.g. feasibility studies, baseline studies, agreements...)

ii) Indicative timeline for the grant funding period

Main steps until validation or registration, implementation activities etc.

iii) Estimated start date and lifetime of the project



SECTION C - Biodiversity

i) START and STARR values for the project area

Enter estimated values & Provide preliminary assessment of threats to biodiversity

SD6: IBAT STAR report

ii) Biodiversity management plan

Overview of a management plan to reduce biodiversity threats

iii) Monitoring biodiversity

Overview of a biodiversity monitoring plan

OPTIONAL

SD7: Data demonstrating suitability of biodiversity monitoring system

SECTION C - Biodiversity



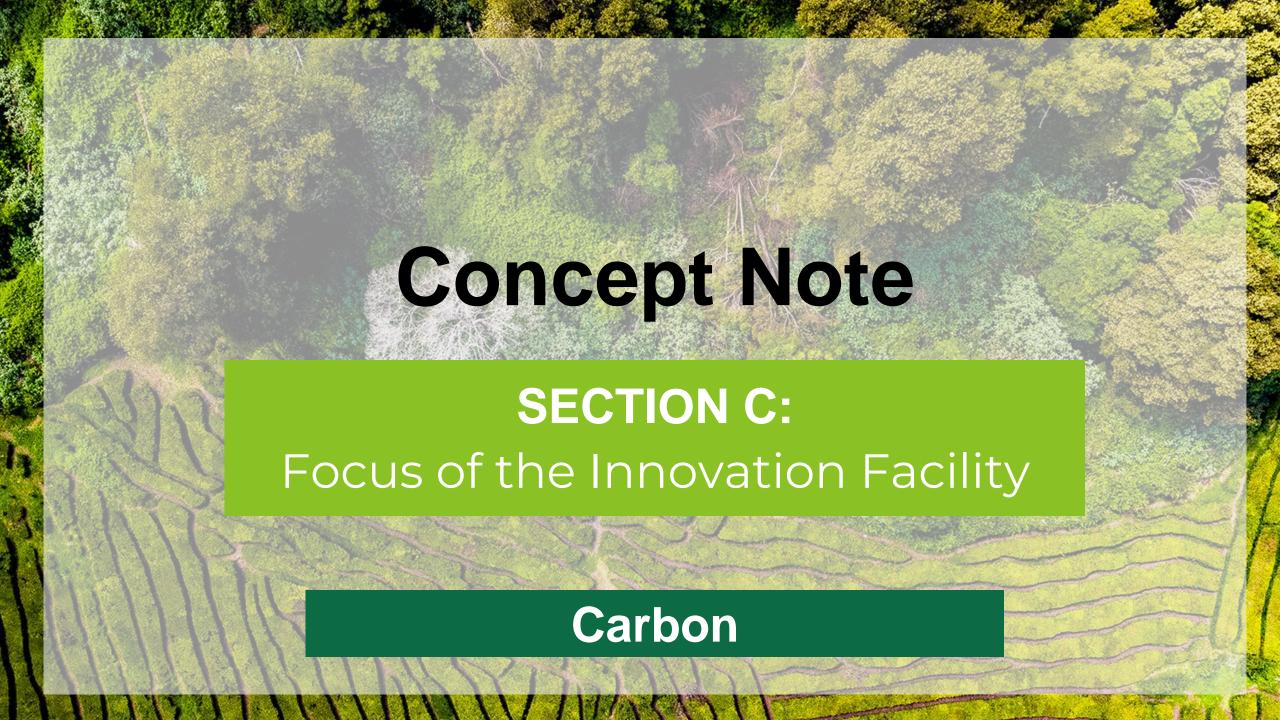
World Database on Protected Areas



About

FAQs

The Species Threat Abatement and Restoration Metric (STAR) allows quantification of the potential contributions that species threat abatement and restoration activities offer towards reducing extinction risk across the world.



i) Status of the carbon component of the project

GHG estimates, baselines, calculation, ongoing project activities, MRV...

ii) Estimated timeline till project registration

Outstanding steps & timeline

iii) GHG and carbon pools

Indicate carbon pools, activities for long-term GHG removal

iv) Carbon standard & methodology

v) Baseline scenario

what would happen if the project was not implemented

vi) Additionality

Describe barriers and necessity for carbon finance

Show lack of enforcement if required by law.

vii) Project scenario (grant funding period + project lifetime)

Describe project activities, species mix, and management practices

viii) Permanence

Describe risks to permanence (natural & human-related) + mitigation measures

ix) Leakage

Describe mitigation of leakage risks

x) Quantification of GHG emissions and removals

estimation of the net GHG removals per land-use and forest stratum

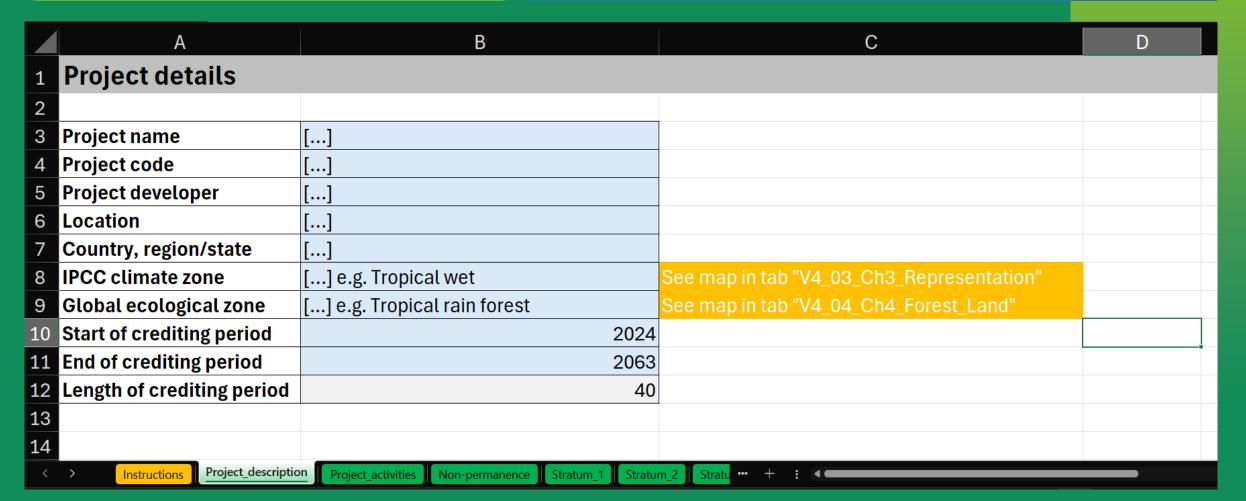
SD8: GHG calculation spreadsheet (Annex5)

Describe the procedure used to quantify GHG removals

SD9: Additional data on GHG emissions and removals, incl. georeferenced maps of the project area, stratification, and project activities

x) Quantification of GHG emissions and removals

SD8: GHG calculation spreadsheet (Annex5)



viii) Alignment with Art. 6 Paris Agreement

Indicate government engagement and provide details or plans for obtaining authorisation of carbon assets.

ix) Monitoring carbon

Explain monitoring system & prior experience



SECTION C - Social

i) Stakeholder engagement

summary of the main stakeholders identified and of the main stakeholder consultations carried out

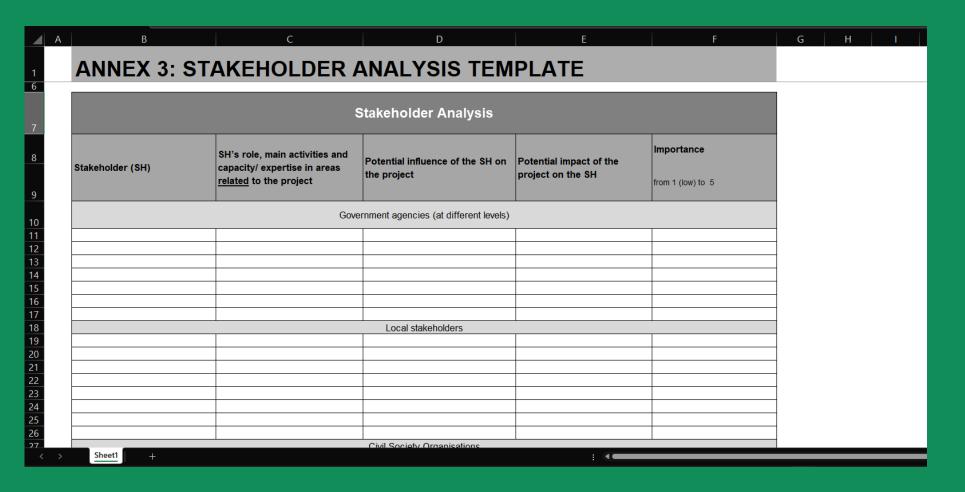
SD10: Stakeholder analysis template (Annex 3)

SD11: Documentation of stakeholder consultation(Annex 4)

SECTION C - Social

i) Stakeholder engagement

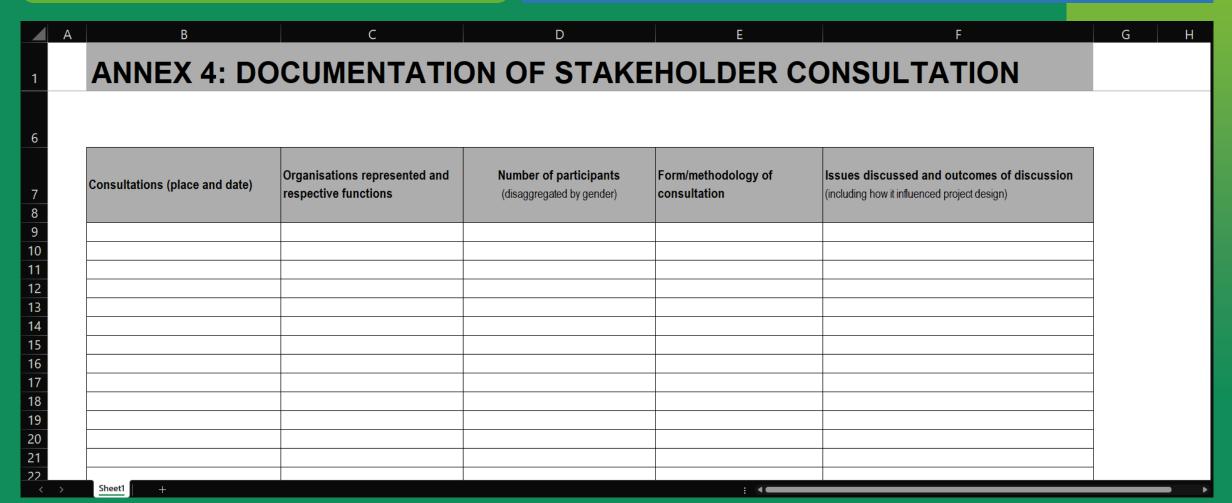
SD10: Stakeholder analysis template (Annex 3)



SECTION C - Social

i) Stakeholder engagement

SD11: Documentation of stakeholder consultation (Annex 4)



SECTION C - Social

ii) Benefit sharing arrangements

Describe intended benefit sharing arrangements

iii) Assessment of gender dynamics

Describe gender dynamics, impacts, and risks for different groups

iii) Proposal on addressing gender issues



SECTION C - Innovation

i) First-of-its-kind

Project helps overcome implementation barriers?

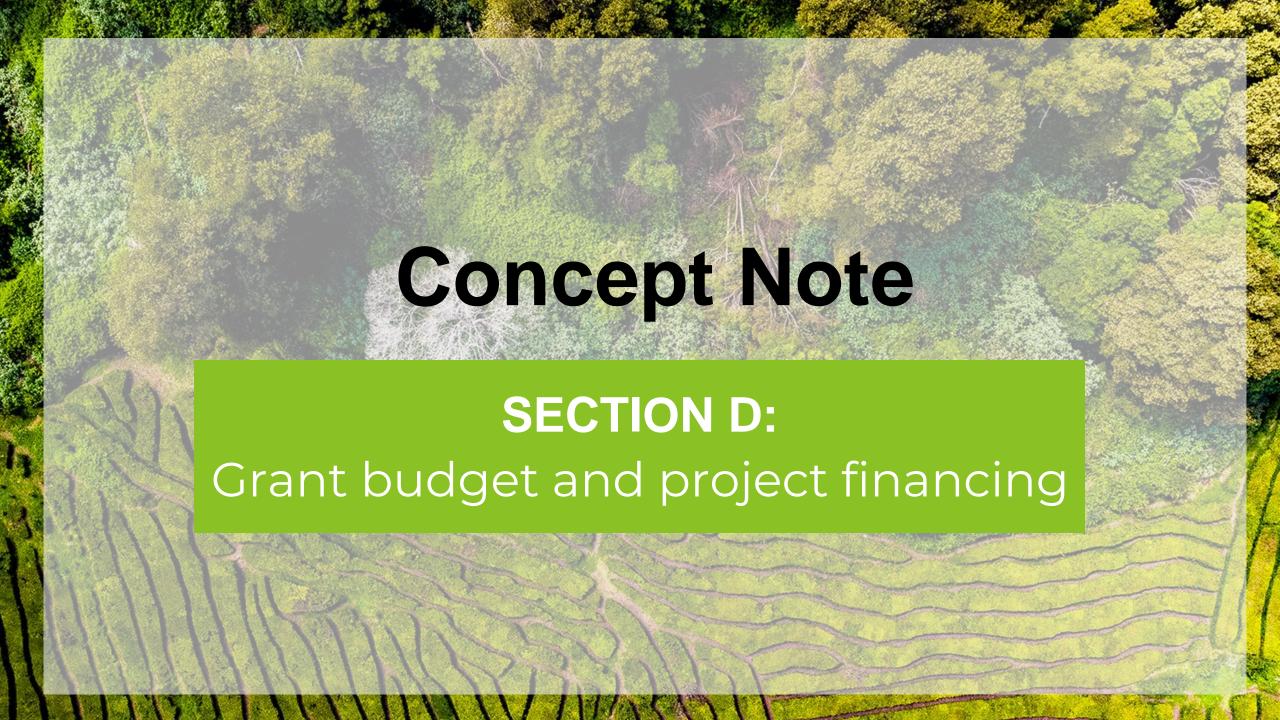
ii) Raised climate and biodiversity impact and contribution to SDGs

Project raises climate and/or biodiversity ambition?

iii) MRV and data collection

Innovative features related to MRV, and data collection?

iv) Other innovative features



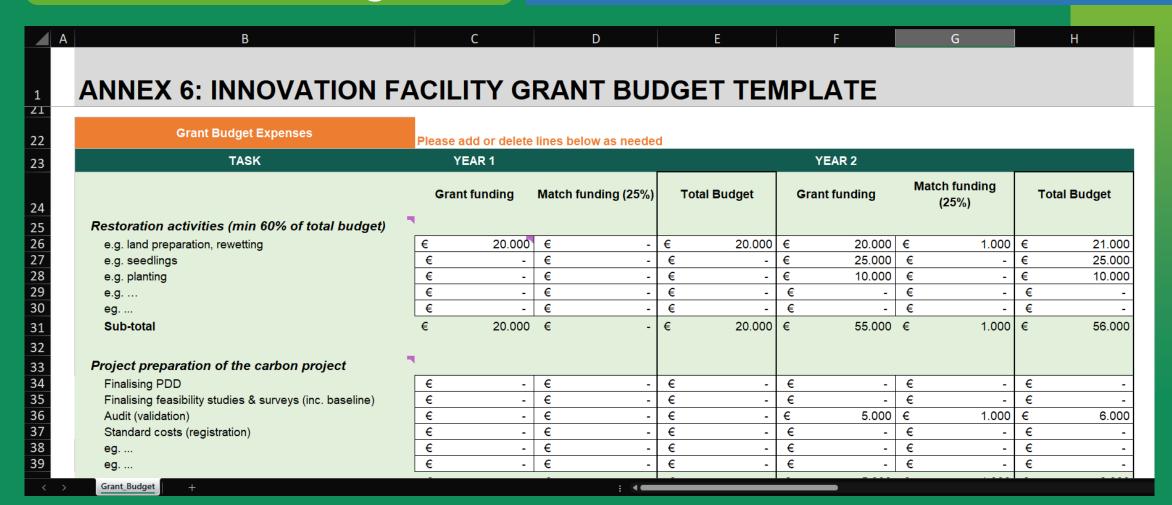
i) Requested grant amount and secured funding

Indicate requested funding (between 750,000-1,5 mio. €)

SD12: Grant Budget template (Annex 6)

i) Requested grant amount and secured funding

SD12: Grant Budget template (Annex 6)



i) Requested grant amount and secured funding

Indicate requested funding (between 750,000-1,5 mio. €)

SD12: Grant Budget template (Annex 6)

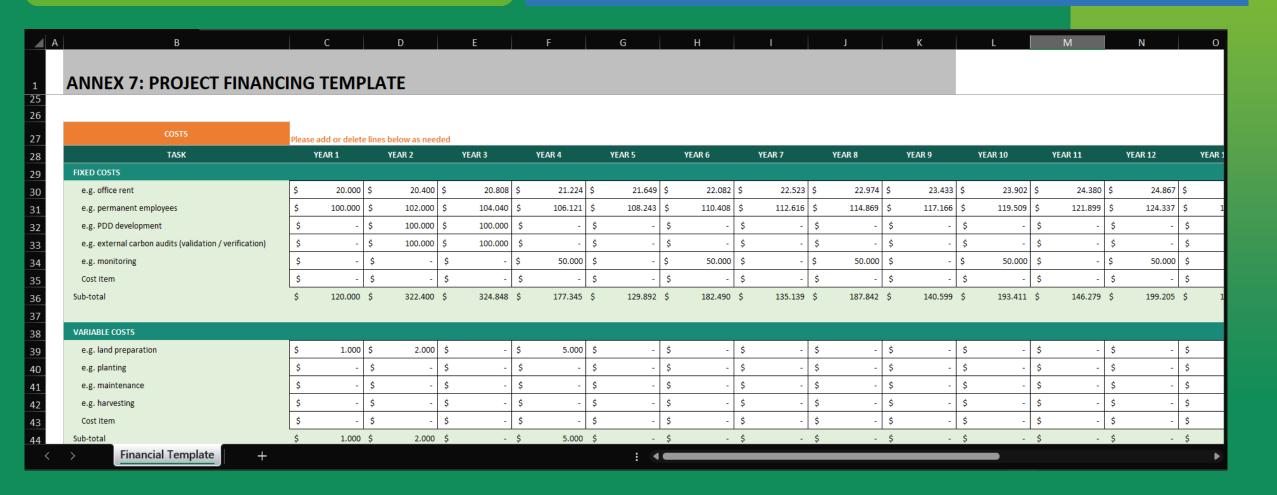
ii) Project financing plan

overview of the cost projections, income and available financing and financing to be secured

SD13: Project financing template (Annex 7)

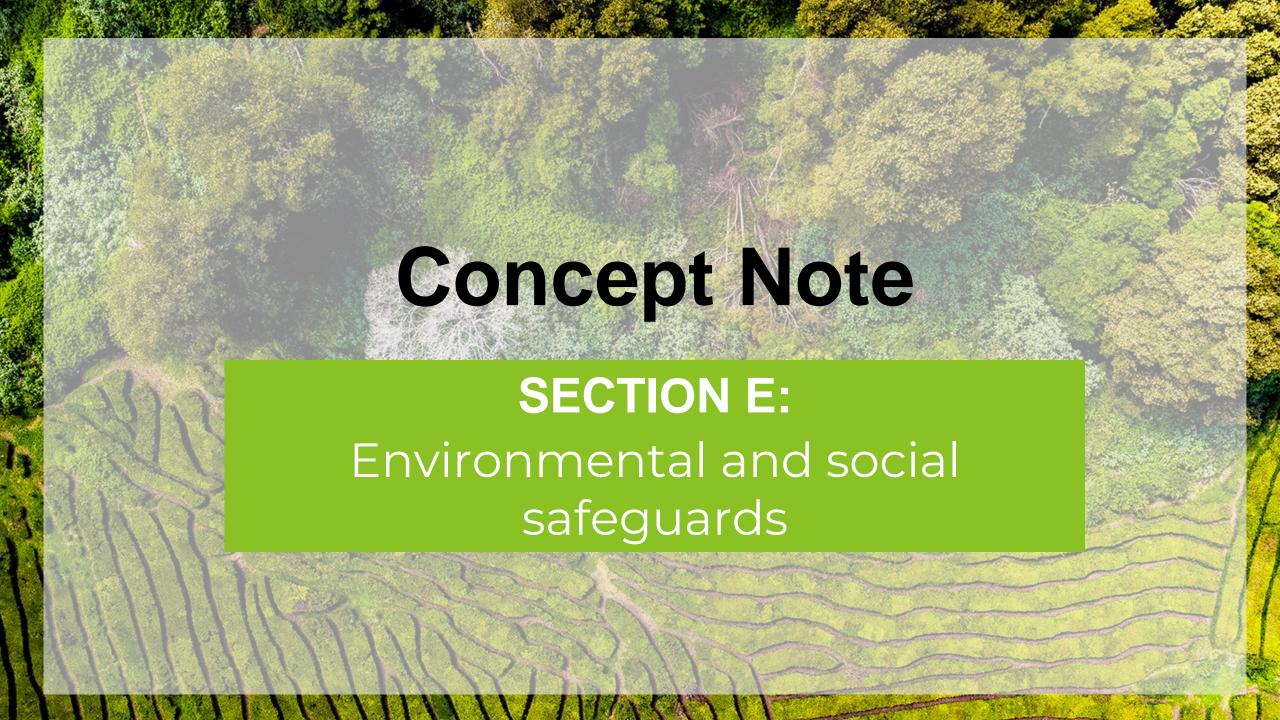
ii) Project financing plan

SD13: Project financing template (Annex 7)



iii) Financing to scale

Detail funding sources, amounts, timelines, and Innovation Facility's role in securing financing



SECTION E - Consultation

i) Consultation with communities affected by the project (positive/negative)

SECTION E – Social context and risks

i) Social context

Describe the socio-economic context of the project sites

ii) Social groups

Describe social groups, livelihoods, economic activities, social issues, and natural resource dependence.

iii) Vulnerable groups

Identify vulnerable groups, their risks, and potential project impacts.

iv) Conflicts, crime and fragility

Project site prone to conflict or post-conflict, organised crime, or features of violence and fragility?

SECTION E – Social context and risks

v) Indigenous Peoples

Describe the project's interaction with Indigenous Peoples: including land claims, involvement, benefits, risks, traditional knowledge, conflicts, and the status of FPIC.

vi) Resettlement / physical displacement

EXCLUSION CRITERIA

vii) Access restrictions / economic displacement

potential project impacts on land/resource access, affected groups, land rights, livelihood impacts, mitigation strategies, and FPIC process

SECTION E – Environmental Risks

i) (Adverse) Impacts on areas of high biodiversity value or critical habitats

ii) Invasive species

In case of non-native species use

iii) (Adverse) Impact on water cycle and quality

SECTION E – Law enforcement & Security risks

i) Security arrangements and law enforcement

Need for security arrangements & diversity of security personnel

ii) Past tensions or incidents

*related to law enforcement, including discrimination, resource competition, and community recourse options.

SECTION E – Nation laws / Environmental & social impacts assessment

i) Required assessment(s) or permitting procedures

Indicate if the project requires or has undergone an ESIA or similar assessment, and explain if applicable.

SD14: Relevant feasibility studies and assessments, permits, and other project documents, including previous reports of environmental and social impacts assessment (ESIA)

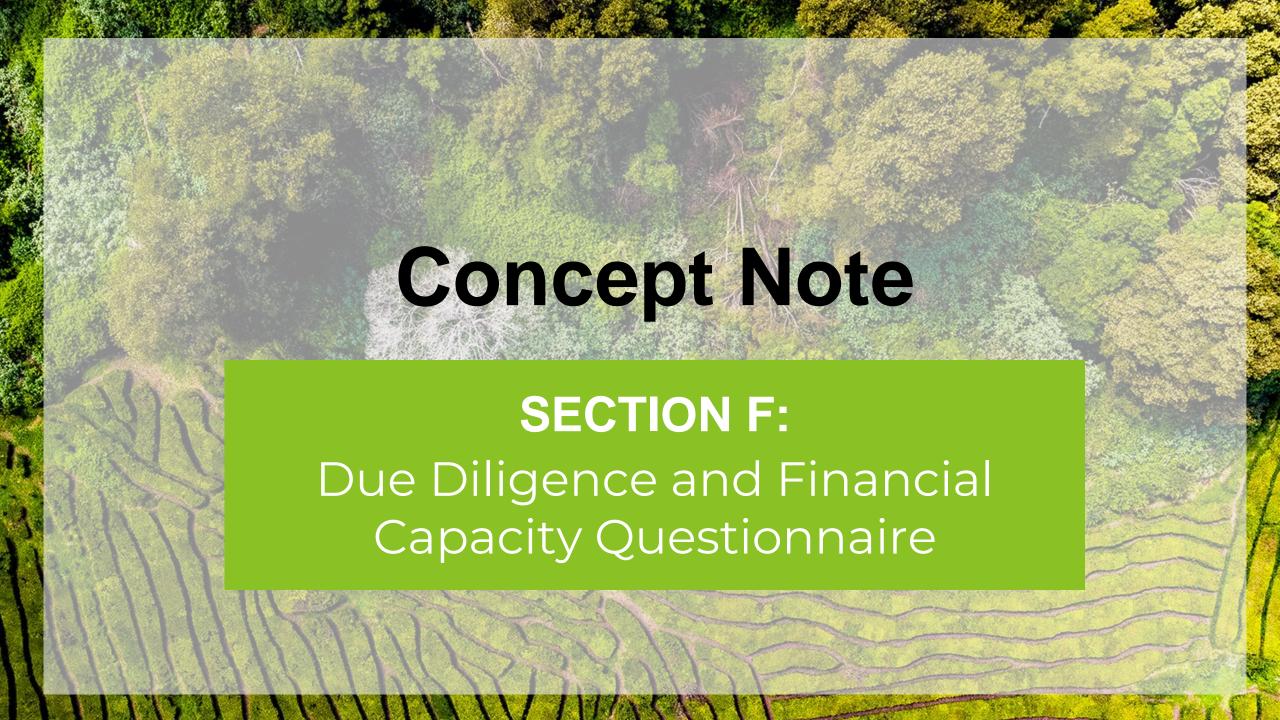
SECTION E – Proponent capacity / Envi. & social risk assessment

i) Risk management capacity

capacity to effectively manage environmental and social risks

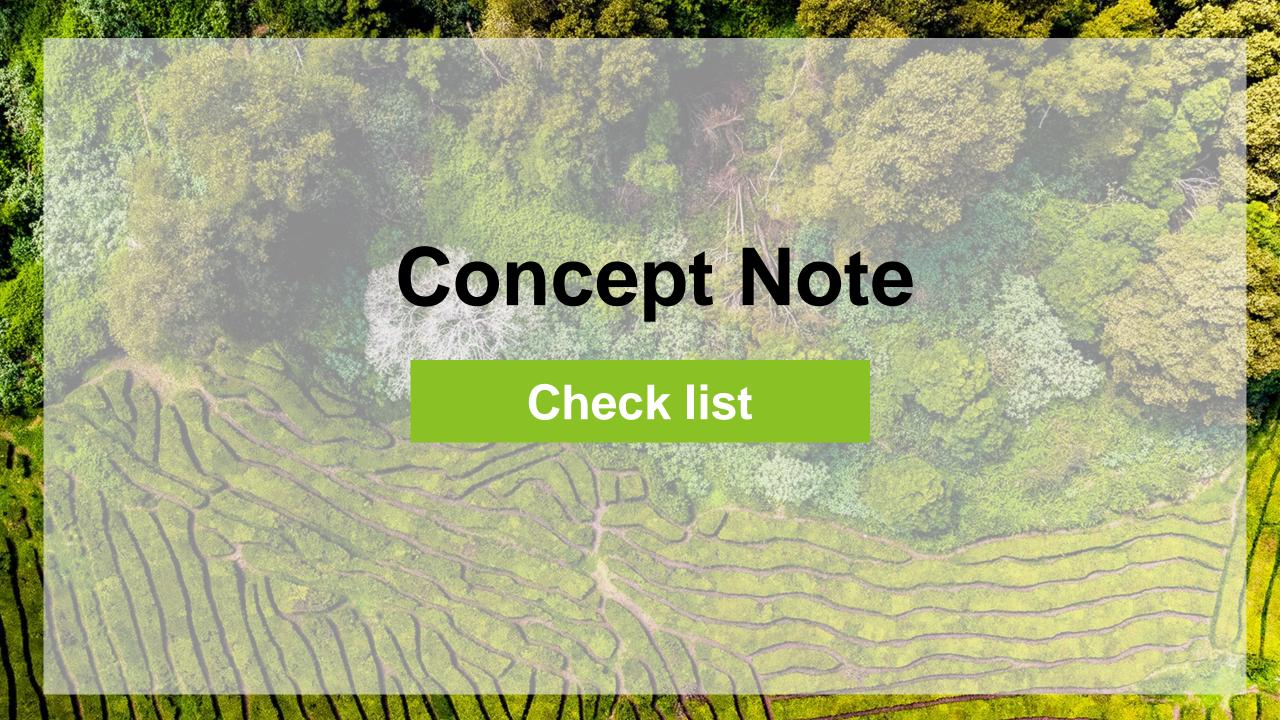
ii) Risk management experience

Level of experience with E&S risk management



SECTION F

- i) Organisation information
- ii) Governance: Governing body
- iii) Legal aspects
- iv) Financial aspects
- v) Management & Personnel
- vi) Internal controls and records keeping
- vii) Relationships and conflict of interest



Check list

SECTION A

SD1: Founding document

SD2: Representation letter (Consortiums)

SECTION B

SD3: Project location map

SD4: Land-use change map (min 10 years)

SD5: State of the project (studies & assessments)

SECTION C

SD6: IBAT STAR report

SD7: Data on suitability of biodiv. monitoring system

SD8: GHG calculation spreadsheet ANNEX 5

Check list

OPTIONAL

SECTION C

SD8: Additional data on GHG emmissions & removal

SD8: Stakeholder analysis Template **ANNEX 3**

SD8: Documentation Stakeholder engagement ANNEX 4

SECTION D

SD8: Grant Budget template **ANNEX 6**

SD8: Project Financing template **ANNEX 7**

SECTION E

SD8: Feasability studies, assessments, permits etc. on environmental and social safeguards



Where to find the documents?

On the WALD Innnovation Facility website:

www.innovationfacility.org

Call for
Proposals

Applying

Application Documents

Below applicants can find documents to support them navigate and complete the application process, including:

- Guidelines for Applicants: provides essential information to evaluate applicant's eligibility for funding, and outline the steps necessary to complete and submit a strong application to the Innovation Facility's Call for Concept Notes. Please read them carefully before applying.
- Concept Note Template: This template mirrors the structure and content of the application form on the IUCN Grants Portal, in which
 project proponents are asked to provide information about their project to assess the eligibility of the project for Innovation Facility
 funding. Please note that the Concept Note template enables offline access to the application form for preparatory purposes only.



- o Stakeholder Analysis template
- o Documentation Stakeholder Consultation template
- o GHG accounting template
- Grant budget template
- o Project financing template





Where to find extra information?

On the WALD Innnovation Facility website:

www.innovationfacility.org

Q&A

Q&A

YOU HAVE **QUESTIONS**. WE HAVE **ANSWERS**.

To ensure all applicants have access to the same information, all question asked during the information sessions or via e-mail will be gathered and answered below.

You did not find the answer you were looking for?

Consulte the Guidelines for Applicants [4] or send us an email (innovation facility@iucn.org).



Concept Notes needs to be submitted online through the IUCN Grants portal

